

FINAL REPORTS ISSUED

09 November 2013 to 31 January 2014

ref	Audit	Risk	Revised potential days	Final Report Issued	Days Taken	Recommendations Made					Audit Opinion
						No.	Risk Level				
						total	4	3	2	1	
KF05 C	Corporate Contracts & Procurement	2	15	14/11/13	17	4	0	0	2	2	Substantial
KF16 O	Taxation	3	10	18/11/13	9	0	0	0	0	0	Substantial
COR19	Corporate Access to Services	3	15	28/11/13	15	3	0	0	2	1	Substantial
OP25	Housing Rent Deposit Scheme	4	5	14/11/13	8	4	0	3	1	0	Limited
OP30	House Sales	3	15	17/12/13	18	6	1	3	2	0	Limited
total						17	1	6	7	3	

PERFORMANCE AGAINST the 2013/14 AUDIT PROGRAMME**09 November 2013 to 31 January 2014**

(reviewed January 2014)

ref	Audit	Risk 13/ 14	Qtr	revised potential days	original potential days	Started	Draft Report issued	Final Report Issued	Days Taken	Status	Comment
	Key Financial - Corporate										
KF01 C	General Ledger	4	3	2	15	21/10/13			1	draft	
KF02 C	Cash & Bank	2	3	3	10	12/11/13			4	testing	
KF03 C	Corporate Asset Management	2	4	5	5	30/01/04			1	planning	EFDC audit swap
KF04 C	Corporate Budgets	2	3	5	5	08/10/13			1	planning	
KF05 C	Corporate Contracts & Procurement	2	2	15	10	22/07/13	07/10/13	14/11/13	17	final	
KF06 C	Corporate Income	2	1	10	10	01/05/13	19/09/13	26/09/13	13	final	
	Key Financial - Operational										
KF07 O	Council Tax	4	3	15	10	21/10/13			3	testing	
KF08 O	Creditors	4	3	10	10	21/10/13			3	planning	
KF09 O	Housing Benefits	4	3	5	10	30/10/13			1	planning	
KF10 O	Housing Rents	4	3	5	10	05/11/13			6	planning	
KF11 O	Housing Repairs	4	3	15	5	21/10/13			17	testing	
KF12 O	NNDR	4	3	15	10	28/10/13			14	testing	
KF13 O	Payroll & HR	4	3	15	15	28/10/13			8	testing	
KF14 O	Recovery Team (incl. Sundry DR)	3	3	15	5	30/10/13			12	testing	
KF15 O	Sundry Debtors				10						
KF16 O	Taxation	3	2	10	5	09/09/13	18/11/13	18/11/13	9	final	
KF17 O	Treasury Management	2	2	5	5	11/09/13	08/10/13	05/11/13	8	final	
	Corporate										
COR18	Corporate Governance & AGS	3	1	10	10	02/04/13	01/05/13	26/09/13	8	final	AGS work only
COR19	Corporate Access to Services	3	1	15	15	01/05/13	09/10/13	28/11/13	15	final	
COR20	Corporate Partnerships - LSP	3	1	10	10	05/04/13	24/06/13	15/07/13	9	final	
COR21	Corporate ICT	3	4	15	15						EFDC audit swap
COR22	Corporate Equality & Diversity	3	4	0	5						c/f to 2014/15
COR23	Corporate Training	2	4	0	10						c/f to 2014/15
COR24	Corporate Performance Management	2	2	10	10	25/06/13	02/09/13	28/10/13	13	final	

PERFORMANCE AGAINST the 2013/14 AUDIT PROGRAMME
(reviewed January 2014)**09 November 2013 to 31 January 2014**

ref	Audit	Risk 13/ 14	Qtr	revised potential days	<i>original potential days</i>	Started	Draft Report issued	Final Report Issued	Days Taken	Status	Comment
	Operational										
OP25	Housing Rent Deposit Scheme	4	1	5	5	16/04/13	17/06/13	14/11/13	8	final	
OP26	Street Services	4	3	5	15	20/09/13			5	draft	
OP27	Emergency Planning	3	1	10	10	03/04/13	08/08/13	09/08/13	8	final	
OP28	Environmental Health	3	1	15	15	09/05/13	25/09/13	27/09/13	16	final	
OP29	Facilities Management	3	1	10	10	15/04/13	29/05/13	18/07/13	12	final	
OP30	House Sales	3	2	15	10	02/09/13	30/10/13	17/12/13	18	final	
OP31	Local Land Charges	2	1	10	10	03/04/13	15/05/13	20/05/13	8	final	
OP32	Car Parking Partnership	3	2	15	5	23/05/13			6	planning	
	TOTAL POTENTIAL AUDIT DAYS			295	305				244		